



PATENT

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H. H. H.  
2-4-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Douglas M. Kavner  
Serial No.: : 09/805,849  
Filed : March 14, 2001  
Entitled : PREDICTIVE AUTOMATIC INCIDENT  
DETECTION USING AUTOMATIC  
VEHICLE IDENTIFICATION  
Docket : RTN-100AUS

Group Art Unit: 2632

Examiner: Not Yet Assigned

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JAN 23 2003

Technology Center 2600

Certificate of Mailing (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

1/16/03  
Date of Signature  
and Mail Deposit

By: Barry Gaiman  
Barry Gaiman  
Reg. No. 42,562  
Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

[ X ] (1) The enclosed Information Disclosure Statement is being filed: within three months of the filing date; or within three months of the entry of the national stage

of the above-identified application; or before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Accordingly, Applicant(s) believes that no fee or statement is required.

☐ (2) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by the fee set forth in § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e)(1) PURSUANT TO 37 C.F.R. § 1.97(c)

☐ (3) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e)(2) PURSUANT TO 37 C.F.R. § 1.97(c)

☐ (4) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying

Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

PETITION UNDER 37 C.F.R. § 1.97(d) WITH A STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[ ] (5) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee required under 37 C.F.R. § 1.17(i). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

PETITION UNDER 37 C.F.R. § 1.97(d) WITH A STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[ ] (6) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee required under 37 C.F.R. § 1.17(i). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

By: Barry Gaiman  
Barry Gaiman  
Reg. No. 42,562  
Attorney for Applicant(s)

Dated: 11/16/03

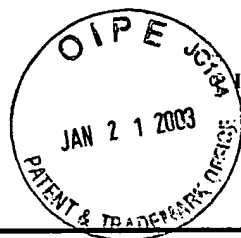
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Form PTO-1449  
(Rev. 8-83)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

**RTN-100AUS**

APPLICATION NO.

**09/805,849**

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICANT

**Douglas M. Kavner**

FILING DATE

**March 14, 2001**

GROUP

**2632**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*
	5 2 8 9 1 8 3	2/22/94	Hassett et al.			
	5 9 4 8 0 3 8	9/7/99	Daly et al.			
	5 4 2 2 4 7 3	6/6/95	Kamata			
	5 8 0 1 9 4 3	9/1/98	Nasburg			
	6 1 1 1 5 2 3	8/29/00	Mee			
	5 2 2 7 8 0 3	7/13/93	O'Connor et al.			

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 6 3 2 4 1 0	1/4/95	EP				X
	2 1 5 4 8 3 2	9/11/85	UK				
	0 1 6 9 5 6 9	9/20/01	WO				

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report of PCT Application No. PCT/US02/03924 mailed October 21, 2002.
	International Search Report of PCT Application No. PCT/US02/02472 mailed December 9, 2002
Examiner	Date Considered:
	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and considered. Include copy of this form with next communication to applicant.

**U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**

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*(Use several sheets if necessary)*

U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** *(including Author, Title, Date, Pertinent Pages, Etc.)*


**Examiner**

**Date Considered:**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and considered. Include copy of this form with next communication to applicant.